

## DORMANT TRADING ACCOUNT POLICY IN RELIGARE BROKING LTD. (RBL)

### **A. DEFINITION: The following accounts shall be categorized as Dormant Accounts:**

Trading account in which no transaction has been carried out for a period of 2(Two) Years shall be classified as a Dormant Account.

### **B. TREATMENT OF DORMANT ACCOUNTS:**

When a Trading Account becomes a Dormant Account, the said account shall be locked / frozen for any further trading orders. However, it is clarified that the credits in the said account due to any corporate action like dividend, bonus, split etc. owing to the securities / ledger balances of the client shall be allowed. It is also clarified that any transaction charges, DP charges or any other taxes or levies will continue to be debited to the Dormant Account.

### **C. REACTIVATION OF DORMANT ACCOUNTS**

**Branch Process:** Clients shall be required to submit a written reactivation request at the branch along with self-attested ID Proof like PAN card copy. **Reactivation request format annexed below.**

**Customer Care Desk:** Clients shall also be given an option to reactivate the accounts by:

- **Telephonic request** at customer care number (1860-25-88888) through the registered number in Religare records.
- **Email** from the registered e-mail address updated in Religare records to the Customer Care Team to [wecare@religareonline.com](mailto:wecare@religareonline.com)
- **SMS** "ACTIVATE" followed by Client ID to 575758 from registered mobile phone number.

Eg : "ACTIVATE XXXXX".

### **D. RETURN OF ASSETS**

Under the prevailing trading account settlement process , the balances if any, are settled / made over to clients as per option of quarterly/ monthly/ bill to bill settlement exercised by the client and accordingly the same applies to balances if any lying in the trading accounts which may get categorized as dormant.

Date: \_\_\_\_\_

To

Religare Broking Ltd. (RBL)

GYS Global sector-125

Noida-201 301

Dear Sir,

**Sub: My/Our request for reactivation of equity trading account**

I/We, the under mentioned have trading account with you, which has turned dormant as per your policy. I/ We wish to trade again and request you to mark my/our account as active again.

I/We understand that Officers/ Sub-Broker/ Authorised Persons /Agents / Employees of RBL are not permitted/ authorised to undertake management of any trading account and I/We shall give orders for trading in my / our account and monitor the account and report any discrepancy immediately to Customer Care Cell of RBL.

(1) Please treat all information / details provided by me/us at the time of account opening Know Your Client formalities as applicable at the current time

(2) Some of the information / details provided by me/us at the time of account opening Know Your Client formalities have changed and same are being provided with the enclosed Profile change Request Form; please take the same on record.

STRIKE OFF THE IRRELEVANT / NON-APPLICABLE OF (1) AND (2) ABOVE.

Yours Faithfully,

(Signatures)

Name: \_\_\_\_\_

Client Code: \_\_\_\_\_